



State of Rhode Island
Department of Business Regulation



DIVISION OF COMMERCIAL LICENSING AND REGULATION
LIQUOR SECTION

233 Richmond Street, Suite 230
Providence, Rhode Island 02903-4230
Telephone (401) 222-2562 Facsimile (401) 222-6654
www.dbr.state.ri.us

APPLICATION FOR ALCOHOLIC BEVERAGE LICENSE BY INDIVIDUAL OR PARTNERSHIP

WHOLESALE: CLASS A _____ CLASS B _____ CLASS C _____
MANUFACTURER: BREWERY _____ WINERY _____ FARMER WINERY _____
BREW ON PREMISES _____ RECTIFIER _____

(Use of additional paper or attachment of lists is permitted as necessary)

Name of Applicant

D/B/A/

Address of Premise

Name, Address and Contact Telephone number of each applicant

Citizen? Yes__ No__ If Naturalized, Date and Court where admitted.

Name, Address and Contact Telephone number of each person interested or to become interested in business for which application is being made. Statement of interest.

Is Application for the benefit of another? Yes__ No__ If Yes Provide Explanation.

Has applicant obtained a loan or arranged financing from other than a bank? Yes__ No__ If Yes Provide Explanation

Is application in behalf of undisclosed principal or party in interest? Yes__ No__ If Yes Provide Explanation.

Have any of the applicants ever been arrested or convicted of a crime? Yes__ No__ If Yes Provide Explanation.

Does applicant own premises? Yes__ No__ Is Property Mortgaged? Yes__ No__
Is property leased? Yes__ No__

Name and Address of Mortgagee or Lessee and amount of extent:

Is any other business to be carried on in the Licensed Premises? Yes__ No__ If Yes Provide Explanation

Is any applicant engaged in any manner as a Law Enforcement Officer?
Yes__ No__ If Yes Provide information on agency employed with and current assignment.

Is Applicant interested directly or indirectly, as principle or associate, or in any manner whatsoever, in any license issued under Title 3 of the General Laws of the State of Rhode Island, 1956, as amended? Yes__ No__ If Yes Provide Explanation.

Is Applicant the owner or operator of any other business? Yes__ No__ If Yes Provide Explanation.

Do any of the Applicants have any interest direct or indirect, as principle or associate, or in any manner whatsoever, in any licensed issued under Title 3 of the General Laws of the State of Rhode Island, 1956, as amended? Yes__ No__
If Yes Provide Explanation.

State amount of Capital invested in business?

I hereby certify that the above statements are true to the best of my knowledge and belief.

Applicant(s)

Date

Notary Public:

Signed this)_____ day of _____20_____

Signature of Notary Public

My Commission Expires:



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ALCOHOLIC BEVERAGE BOND

KNOW ALL MEN BY THESE PRESENT, that I (we) _____ of the City/Town of _____ county of _____ State of Rhode Island, as principal, and _____ of _____ County of _____ and _____ In said state as sureties _____ a surety Company authorized to do business in the State of Rhode Island, as Surety are holden and firmly bound upon _____ as he/she is treasurer of said State in the full sum of _____ to be paid to said treasurer as foresaid, and his successor in said office, to the payment of which sum we do hereby jointly and severally bind ourselves, our respective heirs, executors, administrators, successors and assigns.

Sealed with our seals this _____ day of _____ 20 _____

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas, the above named principal has been Granted a license to sell alcoholic beverages under Title 3 of the General Laws of Rhode Island. 1956 as Amended, said license covering the premises at _____ until the first day of December, unless sooner revoked by the authority granting same or otherwise as provided by law, said principle paying to the Treasurer of the State of Rhode Island the licenses fee required.

NOW THEREFORE, if said principal shall not violate or suffer to be violated on any licenses premises under his control any of the provisions of this chapter (3-7-20) or of any chapter in this title or of any chapter of Title 11 and shall pay all costs and damages incurred by violation of any of either of said chapters on the part of said principal, then this obligation shall be void otherwise to remain in full force and effect.

SIGNED, SEALED AND DELIVERED IN THE PRESENCE OF



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WAIVER

(AUTHORIZATION FOR CRIMINAL BACKGROUND CHECK)

I, _____
(Applicant's Full Name)

of _____
(Complete Address)

have the date of birth of _____ and Social Security number of _____

am applying for a _____ license with the Department of Business Regulation and I hereby

direct and authorize the Bureau of Criminal Identification of the Department of Attorney General for the State of Rhode Island to make available to the Department of Business Regulation any criminal record or other disposition that the Bureau of Criminal Identification has on file in reference to me.

I hereby waive and release any and all manner of actions, cause of actions, and demands of every kind, nature and description, arising from any release of criminal records and requests therefrom, whatsoever against the State of Rhode Island, Bureau of Criminal Identification, the Attorney General, employees of the Attorney General's Office and officials of the Department of Business Regulation in both law and equity which I may now have or in the future may have.

(Signature of Applicant)

Notary Public:

Sworn to before me on this _____ day of _____ 20____

(Notary Public) My Commission Expires: _____



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CONDITIONS PRECEDENT FOR WHOLESALE/MANUFACTURER LICENSE

1. A license is required for the sale, storage, manufacture or importation of alcoholic beverages.
2. The following forms must be filed with this office:
 - (a) Application for license by Individual or Partnership (or) Application for license by Corporation
 - (b) Alcoholic Beverage Bond.
 - (1) Manufacturer's bond amount: \$5,000.
 - (2) Wholesaler's bond amount:

Class A	\$2,500
Class B	\$2,500
3. A hearing date will be set and the applicant will be notified of it.
4. This office shall give notice of the application and date of hearing by advertisement published once a week for at least two weeks in some newspaper having a general circulation in such city or town. (Applicant will be required to pay for the advertisement in advance).
5. Evidence of notification of application and hearing date to all owners of property within 200 feet of proposed place of business by certified mail.
6. Copy of Zoning inspection/approval and copy of Fire inspection/approval.
7. It shall be necessary for the applicant to make and provide a secure premise for the storage of alcoholic beverages to the satisfaction of the Department. Said premises shall include an office facility separate and apart from the area for storage of alcoholic beverages and have a proper repository for invoices and other documents which shall be available for inspection by the Department during normal operating hours,
8. It shall be necessary for the applicant to obtain suitable truck transportation that has a cab, separated from the body by a permanent partition for the safety of the driver and the security of the alcoholic beverages being transported. This does not apply to brewpubs.
9. A telephone line used exclusively in connection with the operation of the licensed premises shall be provided along with the intended hours of operation of the applicant.
10. It shall be necessary that the applicant provide the Department with information regarding product line. Specific information as to commitment from suppliers or manufacturers regarding product to be sold shall be provided to the Department. Wholesalers may purchase from primary source or its agent only.

11. At the license application hearing the applicant shall show by appropriate balance sheets and other financial indicia that applicant has sufficient financial ability to provide for payment of State taxes and service fees.
12. Licenses shall be issued only to a citizen resident of this State.
13. Applicants shall provide date of births for any person listed on the application and shall sign a waiver form consenting to a criminal background check to be conducted by the Rhode Island Department of Attorney General.
14. A contact telephone number for each person listed on the application is required.
15. It shall be unlawful for any holder of a manufacturer's or wholesaler's license to have any direct or indirect interest in any retailer's license or in the business carried on under a retailer's license. Does not apply to brewpubs.
16. The applicant must submit a copy of the Federal Basic Permit to the Department. This permit can be obtained from the Federal Bureau of Alcohol and Tobacco at the "National Revenue Center, Cincinnati, OH by calling 1-800-398-2282 or 1-513-684-3334 and ask for a Specialist, telling them what State you want to be licensed in."
17. Annual License fees are: Wholesaler Class A - \$2,000: Wholesaler Class B \$4,000: Brewery \$3,000: Winery \$1,500 – Over 50,000 gallons: Winery \$500 – Under 50,000 gallons: Farmer Winery \$100: Brewpub \$500. The license shall expire annually on December 1. The fee for the initial license shall be prorated.
18. Every question on Application form must be answered. Any false statement made by the Applicant will be sufficient grounds for the denial of the application or the revocation of the license in case one has been issued.
19. Corporate Applicants: Attention is called to the requirements of the 1963 amendment of 3-5-10 of the General Laws.
 - a. All newly elected Officers or Directors must be reported to the Board of License Commissioners within thirty (30) days.
 - b. Any acquisition by any person of more than ten per cent (10%) of any class of corporate stock must be reported within thirty (30) days.
 - c. Any transfer of fifty per cent (50%) or more of any class of corporate stock can be made only by written application to the Licensing Board subject to the procedures for a transfer of a license.
19. All forms are to be correctly and completely filled out before returning them to the Department. Failure to do so will result in a delay in processing your application.
20. Completed forms should be forwarded to the above address.